

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY DOCKET NO.  60617.300501	SERIAL NO.  Unknown
	APPLICANT  George C. K. Chen	
	FILING DATE  Herewith	GROUP  Unknown

## U. S. PATENT DOCUMENTS

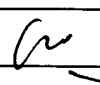
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

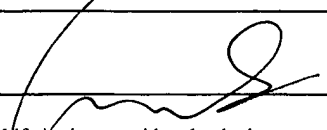
Jc857 U.S. PTO  
 09/967090  
 09/27/01

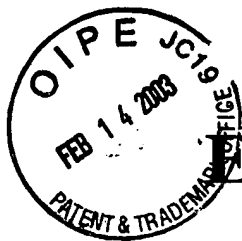
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES NO
	AL							
	AM							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ		Product Data Sheet for E-TEK titled "Fabry-Perot Wavelength Locker (FPWL), 1 page
	AR		


EXAMINER	DATE CONSIDERED
	May 15/2003
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



# Electronic Information Disclosure Statement



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## ITU FREQUENCY/WAVELENGTH REFERENCE

Application:   
09/967090  
Confirmation: 6634  
Applicant(s): George Chen  
Docket Number: 60617.300501  
Group Art Unit:  
Examiner: CHANG, Audrey Y  
search string: (6141130).pn.

## US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	6141130	2000-10-31		Ip	359	179

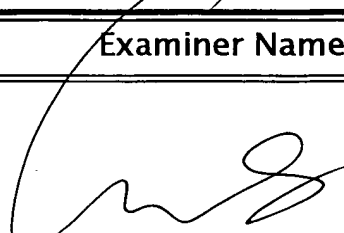
## Remarks

**(Remarks are not for responding to an office action.)**

The listed reference was cited in an International Search Report (ISR) in the prosecution of a related Patent Cooperation Treaty (PCT) application. The ISR lists the reference as an "X" category document, citing the "entire document" as relevant to claims 1-16 there (claims 1-14 here). This category is defined as a "document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to have an inventive step when the document is taken alone." The ISR included no optional statements of the Authorized Officer's rationale. We feel that this reference is relevant to the present case, but we urge that the Authorized Officer is mistaken about the degree of relevance.

For example, the reference seems to have nothing whatsoever to do with gas tuning, which is key in the claimed invention.

## Signature

Examiner Name	Date
	5/13/03